WEST VIRGINIA DEPARTMENT OF ENVIRONMENTAL PROTECTION - DIVISION OF AIR QUALITY

NOTICE OF EMISSION REDUCTION CREDIT TRANSFER/TRADE

INSTRUCTIONS: Both the transferee (buyer) and transferor (seller) are to complete one form per emission-generating source per calendar year from which emission reduction credits are being traded per 45CSR28.

45CSR28 which authorizes air pollution emissions banking and trading is a non-federally approved rule. Facility's that choose to use this voluntary program accept any and all liability associated with participating in this program.

TRANSFEREE INFORMATION (Buyer)								
Company Name (as registered with the Secretary of State's Office)								
2. Company Mailing Address (Street, City, State, Zip Code)								
3. Name of Facility (if different from abo	ove)							
4. The Transferee (Buyer) is the □ Owner □ Operator □ Both □ Other, explain of the ERCs being traded or transferred.								
5. Is the Transferee (Buyer) a resident of the state of West Virginia? □ YES □ NO								
If YES, provide a copy of the Certificate of Incorporation/Organization/Limited Partnership (one page) including any name change amendments OR other <i>Business Certificate</i> .								
If NO, provide a copy of the Certificate of Authority/Authority of L.L.C/Registration (one page) including any name change amendments OR other <i>Business Certificate</i> .								
6. If applicable, is the prospective registrant in compliance with tax payments for Unemployment Compensation and Worker's Compensation as required by the Bureau of Employment Programs? □ YES □ NO □ DOES NOT APPLY, explain If NO, then this company is not eligible for emission trading credit generation, trading, or use per Section 4.1 of 96CSR1, "Rule Implementing the Requirement That Prohibits Agencies from Granting, Issuing, or Renewing Contracts, Licenses, Permits,								
Certificates, or Other Authority to Condi Regards to Unemployment Compensati	uct a Trade, Profession, or Business to on or Workers' Compensation."	or with Any Employing Unit Who Is in Default with						
7. DAQ Facility ID Number	Contact Person Name & Title	Contact Person Telephone Number						
TRANSFEREE (Buyer) RESPONSIBLE OFFICIAL CERTIFICATION: I certify that I am authorized (per 45CSR28.2.33) to act on behalf of the company named in Box 1 above. I further certify that, to the best of my knowledge, the information contained in this notice is true, accurate, and complete. I further certify that the below-referenced ERCs are owned by the company named in Box 15 below (Transferor) and have not previously been transferred nor utilized by the Transferor and upon the Division of Air Quality's determination, acquire all rights in and to the subject ERCs from the below-mentioned Transferor.								
10. SIGNATURE OF RESPONSIBLE OF	11. DATE							
12. NAME & TITLE OF RESPONSIBLE	13. TELEPHONE NUMBER () -							
14. RESPONSIBLE OFFICIAL MAILING ADDRESS (Street, City, State, Zip Code)								
TRANSFEROR INFORMATION (Seller)								
15. Company Name (as registered with the Secretary of State's Office)								
16. Company Mailing Address (Street, City, State, Zip Code)								
17. Name of Facility (if different from above)								
18. The Transferor (Seller) is the □ Owner □ Operator □ Both □ Other, explain of the ERCs being traded or transferred.								

19. Is the Transfe	ror (Seller) a resident of the state of West Virginia?								
If YES, provide a copy of the Certificate of Incorporation/Organization/Limited Partnership (one page) including any name change amendments OR other <i>Business Certificate</i> .									
If NO, provide a copy of the Certificate of Authority/Authority of L.L.C/Registration (one page) including any name change amendments OR other <i>Business Certificate</i> .									
20. If applicable, is the prospective registrant in compliance with tax payments for Unemployment Compensation and Worker's Compensation as required by the Bureau of Employment Programs?									
□ YES □ NO □ DOES NOT APPLY, explain									
If NO, then this company is not eligible for emission trading credit generation, trading, or use per Section 4.1 of 96CSR1, "Rule Implementing the Requirement That Prohibits Agencies from Granting, Issuing, or Renewing Contracts, Licenses, Permits, Certificates, or Other Authority to Conduct a Trade, Profession, or Business to or with Any Employing Unit Who Is in Default with Regards to Unemployment Compensation or Workers' Compensation."									
21. DAQ Facility ID	Number	22. Contact Person N		ne & Title 23. Conta		act Person Telephone Number			
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INFORMATION FOR ERC TRANSFER ("Ozone season" means the period of time beginning on and including April 1 through October 31 of each calendar year (45CSR28.2.27))									
	E	ERCs TRANSFERRED		TRANSFER PRICE					
24. POLLUTANT(S)	25. Ozone Season ERCs	26. Non-Ozone Season ERCs	27. TOTAL ERCs (sum of # and #)	28. ((\$/tor	Ozone Season n)	29. Non-Ozone Season (\$/ton)	30. TOTAL (\$)		
31. REGISTRY E	I RC SERIES NUM	I IBER (identifying l	 ERCs to be transferi	red)					
32. If the ERCs proposed to be traded are part of a future-effective Notice of Emission Reduction Generation, have these ERCs actually been generated in a manner consistent with the ERC Generation Notice?									
If NO, then the proposed ERC trade is ineligible per 45CSR28.7.6. All ERCs must have been generated before being used or traded.									
33. BUSINESS CONFIDENTIALITY CLAIMS: Does this notice include information which has been claimed confidential per 45CSR31? □ YES □ NO									
If YES, identify each segment of such information on each page of this request and all attachments that are claimed confidential in this submittal, and provide justification for each segment claimed confidential using the criteria specified by 45CSR31.4.1, and in accordance with the DAQ's "Precautionary Notice - Claims of Confidentiality" guidance.									
TRANSFEROR (Seller) RESPONSIBLE OFFICIAL CERTIFICATION: I certify that I am authorized (per 45CSR28.2.33) to act on behalf of the company named in Box 15 above. I further certify that, to the best of my knowledge, the information contained in this notice is true, accurate, and complete. I further certify that the above-referenced ERCs are owned by the company named in Box 15 above (Transferor) and have not previously been transferred nor utilized by the Transferor and upon the Division of Air Quality's determination, relinquish all rights in and to the subject ERCs to the above-mentioned Transferee.									
34. SIGNATURE OF RESPONSIBLE OFFICIAL (PLEASE USE BLUE INK)				35. DATE					
36. NAME & TITLE OF RESPONSIBLE OFFICIAL (printed or typed)					37. TELEPHONE NUMBER () -				
38. RESPONSIBLE OFFICIAL MAILING ADDRESS (Street, City, State, Zip Code)									
Send completed form via certified mail to: EMISSION TRADING PROGRAM									

WVDEP - DIVISION OF AIR QUALITY 601 57th Street, SE CHARLESTON, WV 25304